

1625 North Market Blvd., Suite S-200
Sacramento, CA 95834
(916) 574-7830, (916) 574-8625 Fax
www.bbs.ca.gov

To:	Supervision Committee Members	Date:	February 2, 2016
From:	Christy Berger Regulatory Analyst	Telephone:	(916) 574-7817
Subject:	BBS Approved Supervisor Status		

I. Background

The Committee has concluded that it would be beneficial to have the Board involved in the supervision process at the time a licensee begins supervising, as opposed to retrospectively when an applicant submits supervised experience hours, as is done currently. This determination was based on the results of the supervisee/supervisor survey, and due to the number of applicants who have lost hours because their supervisor did not meet the qualifications.

To accomplish this, all supervisors would need to register with the Board. Benefits include protecting registrants gaining hours of experience, ensuring that supervisors are fully aware of all requirements, and allowing a targeted channel for the Board to provide guidance to supervisors. The goal is to provide a structure that increases accountability and improves communication without major changes to the current system.

At the October 23, 2015 meeting, staff proposed a structure that involves a self-certification by the supervisor. See Attachment A for this information.

Committee's Direction for Implementation

At the October 2015 meeting, the committee directed staff to explore implementation through a fully automated system, where the licensee logs into Breeze and self-certifies that he or she meets all of the qualifications to supervise. The committee also discussed performing supervisor audits in conjunction with licensee CE audits. Implementation in this manner was proposed with the goal of minimizing the cost of implementation and additional staff. As staff began to explore implementation of such a system, some concerns arose with the initially proposed framework, as well as the committee's desired method of implementation, as described below.

II. Implementation Concerns

A. Findings from Other States

Of the states that staff surveyed for the committee in 2014, five states¹ require prospective supervisors to apply to the board for approval. There are two other states that offer an “optional” supervisor registry (Arizona, Oregon), but to be listed on the registry a supervisor must apply to the board for approval. Since none of the states surveyed had a supervisor “self-certification” or similar type of program, staff ran various related internet searches. The search results turned up nothing, even for other types of professions. This is not surprising given that licensing programs are generally designed for the purpose of ensuring qualifications are met.

Possible solution: Use a term other than “self-certification”, such as “self-assessment” to better communicate that this is not a board certification, and instead the supervisor’s own assessment of their qualifications.

B. Listing on Breeze Implies BBS Approval

The red circled/underlined areas in Attachment B show the implications of listing self-certified supervisors in Breeze. The majority of the text shown on pages 1 – 3 of the screen shots use standard text that cannot be changed by an individual Board. Because the word “license” is used repeatedly, listing a supervisor in Breeze would likely be perceived as a Board approval of qualifications, even with a disclaimer.

Possible solution: See Attachment D for options.

C. Full Automation (Licensee Enters Own Information on Breeze)

There are several concerns with full automation:

- The Board cannot require every supervisor to add his or her own self-certification to the Breeze system. The Board must provide a manual option, where staff enters the information based on a form submitted by the supervisor. Additionally, it would be preferable for staff to be responsible for removing a supervisor designation from Breeze, as it may not be a priority for a licensee to take this step, and could lead to having outdated records.
- Providing licensees with an automated option would be a significant change to the Breeze system, resulting in a high cost and a minimum two-year lead time for implementation.
- Address changes and renewals are currently the only records that can be entered by a licensee directly into the Breeze system without staff approving the record change. A self-certification that results in an automatic listing as a supervisor would be a big step in a new direction, and raises concerns about public protection.

¹ Ohio, South Carolina, Texas, Washington and Virginia

III. Other Options for Proposed Framework and Implementation

A. Proposed Revised Framework

The main change to the framework proposed is that all supervisors would be required to submit a self-assessment to the Board (as opposed to self-certification) and provide supervisees with a copy. See attachment A for details.

B. Options for Breeze Implementation

See Attachment C for the three Breeze system options, as well as advantages and disadvantages for each option. Additional discussion is provided below.

Option #2 – Similar to “Medical Board: Self-Reported Survey Responses” Search Link

The Medical Board has a feature in Breeze that is *somewhat* set apart from license verifications. The link allows for consumers to search for a physician by “self-reported survey responses” such as areas of practice, language spoken, etc. Something similar could be designed that allows an individual to “Search for a Licensee who has submitted a Supervisor Self-Assessment” or “Search for a Licensee who has Self-Reported as a Supervisor.”

See Attachment D to see an example of the Medical Board’s searchable link and how it is accessed through Breeze.

Option #3 – Verifiable Private Listing

This option would not provide licensees with the ability to add their own record in Breeze. It would instead require all supervisors to submit a completed self-assessment form to the Board. Staff would then simply add a “modifier” to the licensee’s record in Breeze, which would only be viewable internally. However, staff could periodically publish a list on the Board’s website, separately from Breeze.

C. Auditing Supervisors

The committee felt that supervisor audits should be performed in conjunction with continuing education (CE) audits. The current plan for CE is to audit 1% of licensees per year, which means about 535 people. According to a 2015 CAMFT survey², 30% of California LMFTs supervise. A comparable survey could not be located for the other professions, but a 2006 survey of licensed social workers nationally (clinical and non-clinical) indicates 58%³. A reasonable assumption is that 35% of licensees supervise, so staff would typically audit 187 supervisors per year.

While this is an adequate number to be audited, staff feels that the audit process would be stronger if audits were targeted based on Experience Verification forms submitted by applicants. If performed in conjunction with a CE audit, it would be easy for a licensee to answer that they are *not* a supervisor, and the audit would end there. By selecting specifically those who signed

² <http://viewer.zmags.com/publication/c82cdd2b#/c82cdd2b/64>

³ http://workforce.socialworkers.org/studies/nasw_06_execsummary.pdf

for experience hours, the Board would already have documentation that they are (or were) a supervisor.

Staff envisions a supervisor audit as including the following:

- Verify date of licensure, including in another state if necessary to meet two years
- One of the following:
 - o Verify Approved Supervisor Certification
OR
 - o Proof of initial supervisor course AND ongoing professional development (if applicable)
- Most recent self-assessment
- LPCC Supervisors - If supervising LMFT applicants OR supervising LPCC applicants gaining couples/families experience, proof of meeting couples/families requirements

Estimated Staffing Needs

For any of the options presented, it is likely that no more than one full-time position would be necessary to implement and maintain this program.

ATTACHMENTS:

Attachment A: Overall Framework Options

Attachment B: Breeze Screen Shots – License Verification

Attachment C: Breeze System Options

Attachment D: Breeze Screen Shots – Medical Board Self-Reported Survey Response Pages

ATTACHMENT A

Proposed and Revised Framework

Initially Proposed Framework	Revised Proposed Framework
1. <u>New</u> supervisors: Must certify to the Board under penalty of perjury that they meet all qualifications to supervise within 60 days of the commencement of supervision. 2. <u>Existing</u> supervisors: Must certify to the Board under penalty of perjury that they meet all qualifications to supervise within 90 days after being notified of the new requirements. 3. <u>All</u> supervisors: Must provide supervisees with a signed disclosure that includes how to submit a complaint about a supervisor and proof of completion of supervisor training. 4. <u>Audits</u>: The Board performs random audits of supervisor qualifications. Failure of the audit would result in a citation and fine.	1. <u>New</u> supervisors: Must submit a self-assessment to the Board within 60 days of the commencement of supervision, signed under penalty of perjury, indicating all qualifications to supervise have been met. Must provide a copy to each supervisee along with information on how to file a complaint. 2. <u>Existing</u> supervisors: Must submit a self-assessment to the Board within 90 days after being notified of the new requirements, signed under penalty of perjury, indicating all qualifications to supervise have been met. Must provide a copy to each supervisee along with information on how to file a complaint. 3. <u>All</u> supervisors: Must perform an updated self-assessment at the end of each renewal period, with a copy provided to each supervisee. Need to determine if they should submit an update to the Board in order to keep the list of supervisors current. 4. <u>Audits</u>: The Board performs targeted audits of supervisor qualifications based on signed experience verification forms. Failure of the audit would result in a citation and fine.

Blank Page

ATTACHMENT B



Department of Consumer Affairs



[About BreZE](#) [FAQ's](#) [Help/Tutorials](#)

[Skip navigation](#) [Contact Us](#)

DCA BreZE Online Services

Welcome to the California Department of Consumer Affairs (DCA) BreZE Online Services. BreZE is DCA's new licensing and enforcement system and a one-stop shop for consumers, licensees and applicants! BreZE enables consumers to verify a professional license and file a consumer complaint. Licensees and applicants can submit license applications, renew a license and change their address among other services.

- If you were registered with the DCA Online Professional Licensing services before, you will need to re-register with BreZE.
- BreZE only accepts credit card payments for American Express, Discover, MasterCard, and Visa.

FOR CONSUMERS

Check Licenses and file complaints.

Verify a
LICENSE

File a
COMPLAINT

FOR APPLICANTS AND LICENSEES

Applicant and licensing needs are available here.
You will need to [register](#), or use your existing user name and password

Returning User

Fields marked with * are required

* User ID:

* Password:

[Forgot Password?](#)
[Forgot User ID?](#)

[Sign In](#)

New Users

[BreZE Registration](#)

[Back to Top](#) | [Conditions of Use](#) | [Privacy Policy](#) | [Accessibility](#)

Copyright © 2013 State of California



Department of Consumer Affairs



[About BreEZe](#) [FAQ's](#) [Help Tutorials](#)

[Skip navigation](#)

[Logon](#) | [Contact Us](#)

DCA BreEZe License Verification

Welcome to the Department of Consumer Affairs BreEZe License Verification Page. You may use this page to check the license of a company or individual who has a license issued by the Department of Consumer Affairs, including any disciplinary or administrative actions. Please select one of the search options below to begin.

Click on the type of search below to enter search criteria.

Press "Back" to return to the previous screen.

[Search by Personal or Business Name](#)

[Search by Board/Bureau Name](#)

[Search by License Number](#)

[Search by City](#)

[Search by County](#)

[Medical Board of California - Search for a Physician and Surgeon by Self-Reported Survey Responses](#)

[Back](#)

[Back to Top](#) | [Conditions of Use](#) | [Privacy Policy](#) | [Accessibility](#)
Copyright © 2013 State of California



Department of Consumer Affairs



[About BreEZe](#) [FAQ's](#) [Help Tutorials](#)

[Skip navigation](#)

[Logon](#) | [Contact Us](#)

Select License Type

Press "Continue" when you have finished entering data.

Press "Back" to return to the previous screen.

* Board:

California Board of Behavioral Sciences

License/Registration Type

Licensed Clinical Social Worker

[Continue](#)

[Back](#)

[Back to Top](#) | [Conditions of Use](#) | [Privacy Policy](#) | [Accessibility](#)
Copyright © 2013 State of California



Search by City

Enter your search criteria and press "Search" to find a license.

Press "Clear" to clear the search criteria.

Press "Back" to return to the previous screen.

License Type: Licensed Clinical Social Worker

* City:

Chico

Rows Per Page:

5 ▼

[Search](#) [Clear](#) [Back](#)

[Back to Top](#) | [Conditions of Use](#) | [Privacy Policy](#) | [Accessibility](#)
Copyright © 2013 State of California



Search Results List

Click on "Name" to see details.

Click on page number to go to that page.

Click on "First Page" to go to first page.

Click on "Previous Page" to go to previous page from the listing.

Click on "Next Page" to go to next page from the listing.

Press "Back" to return to the previous screen.

Press the "New Search" button to go back to the License Verification page.

Search Criteria		Search By City	
License Type		Licensed Clinical Social Worker	
City:		Chico	
Licensee Name	License Type	License Number	
AGUIRRE, FATIMA M	Associate Clinical Social Worker	64622	
AKERS, GLENNA MARTHA	Associate Clinical Social Worker	31393	
AKERS, GLENNA MARTHA	Associate Clinical Social Worker	18705	
AKIMOTO, MARTIN W	Licensed Clinical Social Worker	5946	
ALLEN-GRIFFIN, THERESA M	Associate Clinical Social Worker	5387	

1 2 3 4 5 6 7 8 9 10 >>

[First Page](#) [Previous Page](#) [Next Page](#) [Back](#) [New Search](#)

[Back to Top](#) | [Conditions of Use](#) | [Privacy Policy](#) | [Accessibility](#)
Copyright © 2013 State of California



License Details

The Department of Consumer Affairs encourages you to verify the license statuses of any licensees that may appear in a 'Related License' section below. You can verify these licensees by selecting 'New Search' and conducting a new search using the 'Search by Personal or Business Name' option. Please note that the 'Related License' section will only appear below if this license is related to another license. Not all licensees have a related license.

If the License Details below include 'Date of Graduation', the month and date of graduation may not be available. In this instance it will be displayed as '01/01/YYYY' where YYYY represents the year of graduation. Please note that not all license types disclose 'Date of Graduation' on the License Details screen.

Press "Previous Record" to display the previous license.

Press "Next Record" to display the next license.

Press "Search Results" to return to the Search Results list.

Press "New Search Criteria" to do another search of this type.

Press "New Search" to start a new search.

License Number: 5946

Current Date: 01/27/2016 10:45 AM

Name: AKIMOTO, MARTIN W
License Type: Licensed Clinical Social Worker
License Status: License Renewed & Current 
Expiration Date: 08/31/2016
Original Issuance Date: 10/25/1977

Addresses

Address of Record	Address
	2571 CALIFORNIA PARK DR #210 CHICO , CA BUTTE 95928

[View on a map](#)

Public Record Actions

Administrative Disciplinary Actions	None found
Court Order	None found
Misdemeanor Conviction	None found
Felony Conviction	None found
Malpractice Judgment	None found
License Issued with Public Letter of Reprimand	None found
Administrative Citation Issued	None found
Administrative Action Taken by Other State or Federal Government	None found
Arbitration Award	None found

ATTACHMENT C – BREEZE SYSTEM OPTIONS

1. Standard Listing in Breeze	2. Separate Link Similar to Medical Board Self-Reported Survey Responses	3. Verifiable Private Listing
<i>Add a “supervisor” category to Breeze. The listing would be searchable, connected to an individual’s license record and include a disclaimer. Licensees would be able to enter their own record into Breeze. Staff to review listing prior to publishing.</i>	<i>Provide a link separate from most screens that reference “License Verification,” titled, “Search for a Licensee who has Submitted a Supervisor Self-Assessment.” Listing with disclaimer could be attached to an individual’s license record if desired. Licensees would be able to add their own information into Breeze.</i>	<i>Staff adds a “modifier” to the licensee’s record, which would only be viewable internally. Staff would periodically publish a list of supervisors, made separately available on the Board’s website, which includes disclaimer.</i>
<u>Advantages:</u> • Allows supervisees to verify whether a supervisor submitted a self-assessment • Provides the ability to obtain a regional listing of supervisors • Workload unlikely to exceed a single half-time position <u>Disadvantages:</u> • Even with the use of a disclaimer, listing/allowing searches in this manner implies BBS approval • Significant change to Breeze, with a high cost and a minimum of two (2) years for implementation • Possibly difficult to keep current. Licensees may not report that they are no longer supervising.	<u>Advantages:</u> • Somewhat lessens the perception that the Board has “approved” the supervisor’s qualifications • Allows supervisees to verify whether a supervisor submitted a self-assessment • Provides the ability to obtain a regional listing of supervisors • Workload unlikely to exceed a single half-time position <u>Disadvantages:</u> • Could still be perceived as board approval of qualifications, even with the use of a disclaimer. The link could only be accessed after clicking through two screens titled “License Verification” • Very significant change to Breeze, with a high cost and a minimum of two (2) years for implementation • Possibly difficult to keep current. Licensees may not report that they are no longer supervising.	<u>Advantages:</u> • Greatly lessens the perception that the Board has “approved” the supervisor’s qualifications • Very minor change to Breeze with a very low cost and less than one (1) year for implementation • Allows supervisees to verify whether a supervisor submitted a self-assessment • Provides the ability to find supervisors in a particular region <u>Disadvantages:</u> • Higher workload for staff than other options, but not expected to exceed one position ongoing. Additional temporary help would be necessary initially. • Possibly difficult to keep current. Licensees may not report that they are no longer supervising.

Blank Page

ATTACHMENT D



Department of Consumer Affairs



[About BreEZe](#)[FAQ's](#)[Help Tutorials](#)

[Skip navigation](#)[Contact Us](#)

DCA BreEZe Online Services

Welcome to the California Department of Consumer Affairs (DCA) BreEZe Online Services. BreEZe is DCA's new licensing and enforcement system and a one-stop shop for consumers, licensees and applicants! BreEZe enables consumers to verify a professional license and file a consumer complaint. Licensees and applicants can submit license applications, renew a license and change their address among other services.

- If you were registered with the DCA Online Professional Licensing services before, you will need to re-register with BreEZe.
- BreEZe only accepts credit card payments for American Express, Discover, MasterCard, and Visa.

FOR CONSUMERS

Check Licenses and file complaints.

Verify a
LICENSE

File a
COMPLAINT

FOR APPLICANTS AND LICENSEES

Applicant and licensing needs are available here.
You will need to [register](#), or use your existing user name and password

Returning User

Fields marked with * are required

* User ID:

* Password:

[Forgot Password?](#)[Forgot User ID?](#)

Sign In

New Users

[BreEZe Registration](#)

[Back to Top](#) | [Conditions of Use](#) | [Privacy Policy](#) | [Accessibility](#)

Copyright © 2013 State of California



Department of Consumer Affairs



[About BreEZe](#) [FAQ's](#) [Help/Tutorials](#)

[Skip navigation](#)

[Logon](#) | [Contact Us](#)

DCA BreEZe License Verification

Welcome to the Department of Consumer Affairs BreEZe License Verification Page. You may use this page to check the license of a company or individual who has a license issued by the Department of Consumer Affairs, including any disciplinary or administrative actions. Please select one of the search options below to begin.

Click on the type of search below to enter search criteria.

Press "Back" to return to the previous screen.

[Search by Personal or Business Name](#)

[Search by Board/Bureau Name](#)

[Search by License Number](#)

[Search by City](#)

[Search by County](#)

[Medical Board of California - Search for a Physician and Surgeon by Self-Reported Survey Responses](#)



[Back](#)

[Back to Top](#) | [Conditions of Use](#) | [Privacy Policy](#) | [Accessibility](#)
Copyright © 2013 State of California

Medical Board of California - Search for a Physician and Surgeon by Self-Reported Survey Responses

Enter a zip code and/or select any of the search fields below. Click on the "Search" button at the bottom of the page. You may search by any combination of search criteria. There are no required fields for searching, though a search must be performed by at least one criterion. To make multiple selections within a search field, press and hold the "Ctrl" key on your keyboard while clicking on your selections. The more search fields selected the narrower the results will be.

Physicians and Surgeons may decline to disclose certain information. If you select search criteria for which a physician and surgeon has declined to disclose, that physician and surgeon will not appear in the search results list.

Press "Clear" to clear the search criteria.

Press "Back" to return to the previous screen.

Survey information is self-reported by the physician and the Board does not verify the information. Therefore, the Board makes no guarantee as to the accuracy, completeness, timeliness, currency, or correct sequencing of the information. The Board shall not be responsible for any errors or omissions, or for the use or results obtained from the use of this information.

Patient Care Practice Location Zip: County:

Telemedicine Practice Location Zip: County:

Physician Retired?

Activities in Medicine:
(Multiple Selections Allowed)

Administration - 1-9 Hours
Administration - 10-19 Hours
Administration - 20-29 Hours

Current Training Status :
(Multiple Selections Allowed)

Fellow
Not in Training
Residency

Primary Practice Area:
(Multiple Selections Allowed)

Aerospace Medicine
Allergy and Immunology
Anesthesiology

Secondary Practice Area:
(Multiple Selections Allowed)

Aerospace Medicine
Allergy and Immunology
Anesthesiology

Board Certification:
(Multiple Selections Allowed)

American Board of Allergy and Immunology - Allergy and Immunology
American Board of Anesthesiology - Anesthesiology
American Board of Anesthesiology - Critical Care Medicine

Postgraduate Training Years :
(Multiple Selections Allowed)

1 Year
2 Years
3 Years

Cultural Background:
(Multiple Selections Allowed)

African
African American
Alaskan Native

Foreign Language:
(Multiple Selections Allowed)

African Languages
American Sign Language
Amharic

Max to Display per Page:

[Search](#) [Clear](#) [Back](#)

Search Results List

Click on "Name" to see details.

Click on page number to go to that page.

Click on "First Page" to go to first page.

Click on "Previous Page" to go to previous page from the listing.

Click on "Next Page" to go to next page from the listing.

Press "Back" to return to the previous screen.

Press the "New Search" button to go back to the License Verification page.

Search Criteria

Licensee Name	License Type	License Number
ESCALANTE, MIRNA XOCHITL	Physician and Surgeon A	120922
BROSNAN, DOUGLAS PAUL (Previous Name)	Physician and Surgeon A	97725
UNIS, CLAIRE MARIE (Previous Name)	Physician and Surgeon A	80539
VAN DYKE, BYRON JAMES (Previous Name)	Physician and Surgeon A	69012
ANDINO-NIEVES, DAVID OMAR	Physician and Surgeon A	126484
VIRK, JASWANT G. S.	Physician and Surgeon A	116251
MILLER, TRAVIS AARON	Physician and Surgeon A	73408
HEITKE, PETER JAMES	Physician and Surgeon G	46389
BRICHLER, BENJAMIN ANDREW	Physician and Surgeon A	99058
HEMAK, MICHAEL JOSEPH	Physician and Surgeon A	115816
MC ANDREW, WILLIAM (Previous Name)	Physician and Surgeon G	64056
GRIFFITHS, BARBARA GAYLE	Physician and Surgeon A	41521
FAINSZTEIN, EVELYN GRACE	Physician and Surgeon A	70304
RASI, LEONARDO (Previous Name)	Physician and Surgeon A	67603
COOPER, HOWARD DOUGLAS	Physician and Surgeon A	45704
SRINIVASAN, VYJAYANTHI (Previous Name)	Physician and Surgeon C	50357
HANSEN, KEVIN (Previous Name)	Physician and Surgeon A	70781
CURRAN, THOMAS JOSEPH	Physician and Surgeon G	63301
YOUNG, BEVERLY RAY	Physician and Surgeon A	120402
ZARAGOZA, RODOLFO HERRAIZ PARO	Physician and Surgeon A	65389
MENTAKIS, EDWARD ALEXANDER (Previous Name)	Physician and Surgeon G	75408
MINIATI, DOUGLAS NEAL	Physician and Surgeon A	73233
JUAREZ, RICHARD ELDON	Physician and Surgeon A	116409
MAXWELL, KEVIN STUART (Previous Name)	Physician and Surgeon G	51321
MILLER, TRAVIS AARON (Previous Name)	Physician and Surgeon A	73408
MIRANDA, JOSE PARAS	Physician and Surgeon A	47853
MILLER, WALTER SCOTT	Physician and Surgeon G	53577
LEEDS, GARY J	Physician and Surgeon A	43004
FLORES, JOSEPH (Previous Name)	Physician and Surgeon G	27099
MATTHEWS, ADORA LYNDA	Physician and Surgeon G	76063

Name: ESCALANTE, MIRNA XOCHITL
License Type: Physician and Surgeon A
License Status: License Renewed & Current 
Expiration Date: 03/31/2016
School Name: TX012 - UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL
Date of Graduation: 06/03/2005
Original Issuance Date: 04/13/2012

Addresses

Address of Record (Required)	Address	1550 IRON POINT RD # 913 FOLSOM , CA SACRAMENTO 95630 United States View on a map
-------------------------------------	----------------	--

Survey Information

The following information is **self-reported by the licensee and has not been verified by the Board.**

Are you retired?	No
Activities in Medicine	Administration - None Other - None Patient Care - 30-39 Hours Research - None Teaching - None Telemedicine - None
Patient Care Practice Location	Zip: 95661 County: PLACER
Patient Care Secondary Practice Location	Zip: 95210 County: SAN JOAQUIN
Telemedicine Practice Location	Not identified
Telemedicine Secondary Practice Location	Not identified
Current Training Status	Not in Training
Areas of Practice	Endocrinology - Secondary Pediatrics - Primary
Board Certifications	American Board of Pediatrics - Pediatric Endocrinology American Board of Pediatrics - Pediatrics
Postgraduate Training Years	3 Years
Cultural Background	Declined to Disclose
Foreign Language Proficiency	Spanish
Gender	Female

Public Record Actions